

COMPLIANCE ASSESSMENT PLAN
MINISTERIAL STATEMENT 1258

FIMISTON GOLD MINE OPERATIONS
EXTENSION (STAGE 3) AND MINE
CLOSURE PLANNING:
FIMISTON SOUTH PROJECT

MARCH 2026

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Compliance Assessment Plan for Ministerial Statement No. 1258

1. Introduction

1.1 Background

KCGM proposes to continue mining operations at the Fimiston site and mining a cutback of the existing Fimiston Open Pit, with associated life of mine tailing deposition, waste capacity and associated infrastructure. The current Project has approval under Part IV of the Environmental Protection Act 1986 (EP Act) under Ministerial Statement No. 1258 (1258:M) which supersedes Ministerial Statements 188 and 782. The location and extent of the Mine Development envelope is defined in Figure 1, 2 and 3 of 1258:M.

1.2 Purpose and Scope

This Compliance Assessment Plan (CAP) establishes the framework for evaluating compliance with the conditions outlined in Ministerial Statement No. 1258 for the Fimiston South Project. It applies to all project-related activities, including mining operations, tailings deposition, waste management, and associated infrastructure. This CAP has been prepared by KCGM in accordance with the 'Post Assessment Guideline for Preparing a Compliance Assessment Plan', Post Assessment Guideline No. 2, (OEPA, August 2012) and includes the following information.

The CAP sets out:

1. Information Management - What data will be collected, when, and how it will be recorded to demonstrate compliance.
2. Assessment Methods - The processes and techniques used to assess compliance with implementation conditions.
3. Validation Procedures - How the adequacy and accuracy of compliance assessments will be verified.
4. Record Retention - Requirements for storing compliance assessment records.
5. Reporting Structure - The format and contents of compliance assessment reports, including audit tables.
6. Public Disclosure - How and when compliance reports will be made publicly available, typically via the proponent's website within 60 days of submission to the CEO.

2. Assessment and Reporting

2.1 Audit Table

An audit table template has been prepared to assess compliance with 1258:M and is presented in Appendix C. The table has been developed by KCGM in accordance with the OEPA guideline 'Post Assessment Guideline for Preparing an Audit Table, Post Assessment Guideline No. 1'.

2.2 Frequency of Compliance Assessment Reporting

In accordance with Condition D2-2 of 1258:M KCGM will submit the first Compliance Assessment Report (CAR) for the Fimiston South Project by 31 March 2026, and thereafter annually by 31 March or as otherwise agreed by the CEO of the OEPA.

KCGM will send one hard and one electronic copy of the CAR by the due date to the OEPA and marked to the attention of the Senior Manager, Regulatory Assurance.

2.3 Approach and Timing of Compliance Assessments

KCGM will assess compliance of the Fimiston South Project with the conditions of 1258:M by undertaking an annual audit as part of the CAR process which will include (but not be limited to):

- a review of operational data;
- review of spatial data (disturbance areas);
- review of the site incident register; and
- a site visit.

The reporting period for the CAR will be for the year beginning on 1 January until 31 December each year. The annual audit requirements are outlined in the Audit Table Template (Appendix C).

The CAR is required to be submitted to the CEO of the OEPA by 31 March of each year. Timing relating to specific conditions in MS 1258 is outlined in the Audit Table Template.

2.4 Retention of Compliance Assessments

All compliance assessments will be retained for the life of the project and a minimum of seven years following the end of the life of the project (OEPA, 2012). The life of the project is considered to continue until all implementation conditions of the project have been met and all decommissioning and/or closure has been completed and agreed closure criteria met.

Documentation retained will include:

- all CARs;
- sufficient information/documentation to support/verify the compliance status of the implementation conditions (e.g. monitoring results; incident records); and
- records of any analysis (e.g. audit reports) undertaken to determine the compliance status of the implementation conditions and/or procedures as reported in the relevant CAR.

2.5 Reporting of Non-Compliances and Corrective Measures

In accordance with Condition D1-1 of 1258:M, the CEO of the OEPA shall be advised of any potential non-compliance within seven (7) days of that non-compliance being known; this notification advice will be via email (compliance@dwer.wa.gov.au) and info@dwer.wa.gov.au. All instances of non-compliance or potential non-compliance will be included in the CAR using Section 3 of the Post Assessment Form 2 for Statement of Compliance (Appendix B).

KCGM maintains an Incident Register and Complaints Database (via INX InControl and INX InForm) to keep a record of all non-compliances or potential non-compliances. These records include (but are not limited to):

- The time, date and precise location, where applicable, of the non-compliance or potential non-compliance;
- A review of the non-compliance or potential non-compliance detailing what occurred;
- An assessment of any associated impacts and their extent;
- A causal analysis (investigation) to determine the cause(s); and
- any remedial and/or corrective action(s) taken or proposed to be taken.

2.6 Table of Contents of Compliance Assessment Reports

Condition 3-2 outlines the requirements for the CAR. The table of contents to be included in each annual CAR would contain, as a minimum, the following headings outlined in Table 1.

Table 1: Table of Contents for CARs

Heading	Description
Corporate Endorsement	Endorsement by proponent's CEO or a person delegated to sign on behalf of the CEO.
Introduction and Purpose	Brief details about the Project including: <ul style="list-style-type: none"> - reference to 1258:M; - purpose of the CAR; and - period of time covered by the CAR.
Current Status	Summary of the current implementation status of the Project, specifically milestones/achievements within the reporting period. Summary of production throughput for the period of time covered by the CAR.

Heading	Description
	Summary of disturbance areas of physical and operational elements in relation to the authorised extent.
Compliance	Identify all potential non-compliances and describe corrective and preventative actions taken. Reporting of potential non-conformances and non-compliances, with a description of the corrective and preventative actions taken. Address compliance with each condition of 1258:M; reference the Completed Audit Table and Statement of Compliance presented in the Appendices (see below)
Review of the CAP	Indicate of any proposed changes to the CAP
Figures	Any relevant figures including, but not limited to: project location map (showing location and authorised extent of physical and operational elements).
Appendices	Statement of Compliance
	Completed Audit Table
	Relevant Monitoring Results

2.7 Public Availability of Project Documents

All CARs prepared in accordance with the approved CAP for the Fimiston South Project will be made publicly available, within 60 days of submission to the CEO on Northern Star's (KCGM) website.

All data, except that deemed sensitive or confidential, will be made publicly available in accordance with Condition D2-6 of Ministerial Statement 1258. CARs will be published on the proponent's website within 60 days of submission to the CEO and validated environmental data, management plans and reports will be published on the proponent's website within 60 days of CAP approval and within 60 days of CAR submissions, as applicable.

3. References

Office of the Environmental Protection Authority (OEPA) (2012a). Post Assessment Guideline for Preparing an Audit Table, Post Assessment Guideline No. 1, August 2012

OEPA (2012b). Post Assessment Guideline for Preparing a Compliance Assessment Plan, Post Assessment Guideline No. 2, August 2012

OEPA (2012c). Post Assessment Guideline for Preparing a Compliance Assessment Report, Post Assessment Guideline No. 3, August 2012

OEPA (2012d). Post Assessment Guideline for Making Information Publicly Available, Post Assessment Guideline No. 4, August 2012

4. Appendices

Appendix A - Ministerial Statement No. 1258

Appendix B - Statement of Compliance (Post Assessment Form 2)

Appendix C - Annual Audit Table Template

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Compliance Status ¹	Further Information															
MS1258 A1-1	Limitations and Extent of Proposal	<p>The proponent must ensure that the significant amendment proposal is implemented in such a manner that the following limitations or maximum extents/ capacities / ranges are not exceeded:</p> <table border="1"> <thead> <tr> <th>Significant amendment proposal element</th> <th>Location</th> <th>Maximum extent</th> </tr> </thead> <tbody> <tr> <td colspan="3">Physical elements</td> </tr> <tr> <td> Mine development envelope including of: <ul style="list-style-type: none"> Fimiston Open Pit Environmental Noise Bund Waste rock dumps (including stockpiles) Tailings Storage Facilities ROM and processing plant Infrastructure corridors and workshop area. Managed Aquifer ReInjection (MAR) within existing Kaltails Supply Borefield. </td> <td> Figure 1 Figure 2 Figure 3 </td> <td> Clearing of no more than 5,841 ha within 7,795 ha development envelope. </td> </tr> <tr> <td colspan="3">Timing elements</td> </tr> <tr> <td>Mine life</td> <td></td> <td>Up to 2034</td> </tr> </tbody> </table>	Significant amendment proposal element	Location	Maximum extent	Physical elements			Mine development envelope including of: <ul style="list-style-type: none"> Fimiston Open Pit Environmental Noise Bund Waste rock dumps (including stockpiles) Tailings Storage Facilities ROM and processing plant Infrastructure corridors and workshop area. Managed Aquifer ReInjection (MAR) within existing Kaltails Supply Borefield.	Figure 1 Figure 2 Figure 3	Clearing of no more than 5,841 ha within 7,795 ha development envelope.	Timing elements			Mine life		Up to 2034	KCGM will implement proposal as described in Figure 1, Figure 2 and Figure 3.	Annual Compliance Assessment Report Spatial data of clearing Aerial imagery / survey data	Operations	Annually		
Significant amendment proposal element	Location	Maximum extent																					
Physical elements																							
Mine development envelope including of: <ul style="list-style-type: none"> Fimiston Open Pit Environmental Noise Bund Waste rock dumps (including stockpiles) Tailings Storage Facilities ROM and processing plant Infrastructure corridors and workshop area. Managed Aquifer ReInjection (MAR) within existing Kaltails Supply Borefield.	Figure 1 Figure 2 Figure 3	Clearing of no more than 5,841 ha within 7,795 ha development envelope.																					
Timing elements																							
Mine life		Up to 2034																					
MS 1258 B1-1	Flora and Vegetation	<p>The proponent must ensure the implementation of the significant amendment proposal achieves the following outcome:</p> <p>(1) Disturb no more than 126 individuals of <i>Eremophila praecox</i> within the disturbance footprint as shown in Figure 1.</p> <p>(2) No adverse impacts to <i>Eremophila praecox</i> outside the disturbance footprint as shown in Figure 1.</p>	<p>KCGM will establish a clear monitoring and reporting framework that ensures the significant amendment proposal meets the required outcomes through implementation of the Land Disturbance Procedure, including Disturbance Permit Forms. This involves defining the disturbance footprint using the approved Figure 1 and mapping all ground disturbance activities against it.</p> <p>Spatial GIS dataset for <i>Eremophila praecox</i> will be maintained confirming that no more than 126 plants have been disturbed, and no adverse impacts to <i>Eremophila praecox</i> outside the disturbance footprint.</p>	Annual Compliance Assessment Report Spatial GIS datasets <i>Eremophila praecox</i> disturbance monitoring report	Operations	Annually																	
MS1258 B1-2	Flora and Vegetation	The proponent must review and update the Significant Species Management Plan Flora (Version 6, November 2024, or any future revisions) that demonstrates how achievement of the environmental outcomes in condition B1-1 will be monitored and substantiated, and satisfies the requirements of C4, and submit it to the CEO.	KCGM will review and update the Significant Species Management Plan - Flora (Version 6, November 2024, or any subsequent revision) to ensure it clearly outlines how achievement of the	Submission of Significant Species Management Plan - Flora Correspondence from EPA of confirmed (approved) management plan	Operations	Ongoing																	

- ¹ 1 Phases that apply in this table = Pre-Construction, Construction, Operation, Decommissioning, Overall (several phases).
 - This audit table is a summary and timetable of conditions and commitments applying to this project. Refer to the Minister's Statement for full detail/precise wording of individual elements.
- Code prefixes: M = Minister's condition, P = Proponent's commitment.
- Acronyms list: CEO = Chief Executive Officer of OEPA; DBCA = Department of Biodiversity, Conservation and Attractions; DAA = Department of Aboriginal Affairs; DMIRS= Department of Energy, Mines, Industry Regulation and Safety; DWER = Department of Water and Environmental Regulation; EPA = Environmental Protection Authority; DoH = Department of Health; Minister for Env = Minister for the Environment; OEPA = Office of the Environmental Protection Authority.
 - Compliance Status: C = Compliant, CLD = Completed, NA = Not Audited, NC = Non - compliant, NR = Not Required at this stage. Please note the terms VR = Verification Required and IP = In Process are only for OEPA use.

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Compliance Status ¹	Further Information
			<p>environmental outcomes specified in Condition B1-1 will be monitored and substantiated.</p> <p>The updated plan will also address all requirements under Condition C4, including detailed monitoring methodologies, reporting protocols, and adaptive management measures. Once revised, the plan will be formally submitted to the CEO for approval.</p>					
MS1258-B2-1	Terrestrial Fauna	<p>The proponent must ensure the implementation of the significant amendment proposal achieves the following outcome:</p> <p>(1) Disturb no more than two (2) plants considered to be breeding shrubs for the Inland Hairstreak Butterfly (<i>Jalmenus aridus</i>).</p> <p>(2) Branches of the breeding shrubs described in condition B2-1(1) are to be relocated to other breeding shrubs within the development envelope.</p> <p>(3) No adverse impacts to breeding shrubs for the Inland Hairstreak Butterfly (<i>Jalmenus aridus</i>) other than the disturbance described in condition B2-1 (1).</p>	<p>KCGM will establish a clear monitoring and reporting framework that ensures the significant amendment proposal meets the required outcomes through implementation of the Land Disturbance Procedure, including Disturbance Permit Forms. This involves defining the disturbance footprint using the approved Figure 1 and mapping all ground disturbance activities against it.</p> <p>Spatial GIS dataset for <i>Jalmenus aridus</i> will be maintained confirming that no more than 2 plants considered to be breeding shrubs for the Inland Hairstreak Butterfly (<i>Jalmenus aridus</i>) have been disturbed and no adverse impacts to <i>Jalmenus aridus</i> outside the disturbance footprint.</p>	<p>Annual Compliance Assessment Report</p> <p>Spatial GIS datasets</p> <p>Disturbance Permit Forms</p> <p>Dates and times work was conducted</p> <p>Fauna expert/handler reports Relevant fauna expert/handler qualifications and licences</p> <p>Monitoring records, photos</p>	Operations	Ongoing		
MS1258-B2-2	Terrestrial Fauna	<p>During ground disturbing activities, the proponent must undertake the following actions:</p> <p>(1) Only undertake disturbance/relocation of branches of the breeding shrubs identified in condition B2-1(1) for the Inland Hairstreak Butterfly (<i>Jalmenus aridus</i>) during non-breeding/non-active times of the year.</p> <p>(2) Ensure the presence of relevant fauna expert/handler(s) during disturbance/relocation activities.</p>	<p>KCGM will implement a process that ensures disturbance and relocation activities occur only during non-breeding or non-active periods for the Inland Hairstreak Butterfly (<i>Jalmenus aridus</i>) through implementation of the Land Disturbance Procedure, including Disturbance Permit Forms. This involves defining the disturbance footprint using the approved Figure 1 and mapping all ground disturbance activities against it.</p> <p>This involves consulting seasonal activity data and scheduling works outside the butterfly's breeding season. Prior to any disturbance, confirm timing through ecological advice or monitoring records. Additionally, ensure that qualified fauna experts or handlers are present during all disturbance and relocation activities to oversee the process. These actions will be documented and photographs taken as evidence during the disturbance/relocation activities.</p>	<p>Annual Compliance Assessment Report</p> <p>Spatial GIS datasets</p> <p>Disturbance Permit Forms</p> <p>Dates and times work was conducted</p> <p>Fauna expert/handler reports Relevant fauna expert/handler qualifications and licences</p> <p>Monitoring records, photos</p>	Operations	Ongoing		

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Compliance Status ¹	Further Information
MS1258-B2-3	Terrestrial Fauna	The proponent must review and update the Significant Species Management Plan Fauna (Version 6, November 2024, or any future revisions) that demonstrates how achievement of environmental outcomes in condition B2-1 will be monitored, substantiated and satisfies the requirements of C4, and submit it to the CEO.	<p>KCGM will review and update the Significant Species Management Plan - Fauna (Version 6, November 2024, or any subsequent revision) to ensure it clearly outlines how achievement of the environmental outcomes specified in Condition B2-1 will be monitored and substantiated.</p> <p>The updated plan will also address all requirements under Condition C4, including detailed monitoring methodologies, reporting protocols, and adaptive management measures. Once revised, the plan will be formally submitted to the CEO for approval.</p>	<p>Submission of Significant Species Management Plan - Fauna</p> <p>Correspondence from EPA of confirmed (approved) management plan</p>	Operations	Ongoing		
MS1258-B3-1	Air Quality	<p>The proponent must implement the significant amendment proposal to meet the following environmental outcomes for dust emissions associated with the implementation of the proposal:</p> <p>(1) Comply with ambient PM limit of 50 micrograms per cubic metre averaged over a 24-hour period at community-based monitors (Figure 4).</p> <p>(2) Comply with ambient PM limit of 75 micrograms per cubic metre averaged over a 24-hour period at boundary monitors (Figure 4).</p> <p>(3) Comply with ambient PM limits at any additional monitors resulting from, and according to the requirements of, any review and update of the Fimiston Air Quality Management Plan.</p>	<p>KCGM will implement a robust air quality management and monitoring program aligned with the specified limits. This involves ensuring that dust emissions associated with the proposal do not exceed an ambient PM limit of 50 µg/m³ averaged over 24 hours at community-based monitors and an ambient PM limit of 75 µg/m³ averaged over 24 hours at boundary monitors, as shown in Figure 4.</p> <p>Continuous monitoring will be conducted at all designated locations, with calibrated equipment and validated data collection protocols. Additionally, any new monitors introduced as a result of a review and update of the Fimiston Air Quality Management Plan will be incorporated into the compliance framework, with monitoring and reporting adjusted accordingly.</p> <p>Compliance will be demonstrated through regular data analysis, comparison against the prescribed limits, and documented evidence in periodic reports submitted to the regulator, including exceedance investigations and corrective actions where necessary.</p>	<p>Annual Compliance Assessment Report</p> <p>Implementation of confirmed (approved) Fimiston Air Quality Management Plan</p> <p>Annual Dust Event Analysis Report</p>	Operations	<p>Annually</p> <p>Ongoing</p>		
MS1258-B3-2	Air Quality	<p>To meet the requirements in condition B3-1, the proponent must:</p> <p>(1) Monitor dust emissions at the dust monitoring locations (Figure 4) and at any additional dust monitoring locations referred to in condition B3-1(3).</p> <p>(2) Ensure continuous dust monitoring data is available on the proponent's website within 24 hours of the recording of that data.</p>	<p>KCGM will implement a dust monitoring program that ensures continuous measurement at all designated locations shown in Figure 4, as well as any additional monitoring sites introduced under Condition B3-1(3).</p> <p>Monitoring equipment will be calibrated and operational at all times to provide</p>	<p>Annual Compliance Assessment Report</p> <p>Implementation of confirmed (approved) Fimiston Air Quality Management Plan</p> <p>Calibration and service maintenance records</p> <p>Data available on website</p>	Operations	<p>Dust monitoring data available on website within 24 hours</p> <p>Ongoing</p>		

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Compliance Status ¹	Further Information
			<p>accurate real-time data. KCGM will establish a system for uploading dust monitoring data to its public website within 24 hours of recording, ensuring transparency and accessibility.</p> <p>Compliance will be substantiated through documented procedures, automated data transfer logs, and periodic audits verifying that data is published within the required timeframe. These actions will be detailed in the compliance report submitted to the regulator, including evidence of monitoring coverage, data availability, and any corrective actions taken for delays or equipment failures.</p>					
MS 1258 B3-3	Air Quality	The proponent must review and update the Fimiston Air Quality Management Plan (Version 13, July 2024, or any future revisions) that demonstrates how achievement of the air quality environmental outcome in condition B3-1, and how the requirements of condition B3-2 will be achieved, and satisfies the requirements of condition C4, and submit it to the CEO.	<p>KCGM will review and update the Fimiston Air Quality Management Plan (Version 13, July 2024, or any subsequent revision) so that it clearly shows how the air quality environmental outcome in Condition B3-1 will be achieved and how the requirements of Condition B3-2 will be implemented.</p> <p>The updated plan will also address all requirements under Condition C4, including detailed monitoring strategies for dust emissions, data management protocols to ensure continuous monitoring and timely public reporting, and adaptive management measures to address exceedances. Once revised, the plan will be formally submitted to the CEO for approval.</p>	<p>Submission of Fimiston Air Quality Management Plan</p> <p>Correspondence from EPA of confirmed (approved) management plan</p>	Operations	Ongoing		
MS 1258 B3-4	Air Quality	The proponent must conduct a triennial review of the plan required in condition B3-3 which includes a review of the dust levels applicable to actions and alerts used to adequately control offsite impacts from onsite dust generation, and submit it to the CEO.	<p>KCGM will conduct a triennial review of the Fimiston Air Quality Management Plan and submit this to the CEO, ensuring that the review evaluates the dust level thresholds that trigger actions and alerts for controlling offsite impacts from onsite dust generation. This process will include an assessment of current dust monitoring data, effectiveness of existing alert levels, and any changes in regulatory standards or site conditions.</p> <p>The triennial review will document findings, justify any adjustments to thresholds or control measures, and the plan updated accordingly if required and submitted to the CEO for approval.</p>	<p>Triennial review of the Fimiston Air Quality Management Plan</p> <p>Independent review report of air quality data and review of the effectiveness of actions and alerts to adequately control offsite impacts</p>	Operations	Triennially		

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Compliance Status ¹	Further Information
MS 1258 B3-5	Air Quality	<p>The proponent must implement the significant amendment proposal to meet the following environmental objectives for detonating explosives:</p> <p>(1) Ensure explosives are detonated at surface level when wind directions favour the carriage of dust away from the residential areas of Kalgoorlie-Boulder, unless undertaken for the purposes of removing obstructions in crushers, or making workings safe, or for firing misfired holes.</p> <p>(2) Ensure that explosives are only detonated between the hours of 0700 hours and 1800 hours, unless undertaken for the purposes of removing obstructions in crushers, or making workings safe, or for firing misfired holes.</p> <p>(3) Ensure active mining operations are not undertaken within 400 metres of a property zoned Residential under the Town Planning Scheme without the written consent of the owner and occupier of that property.</p> <p>(4) Where adverse impacts to State Registered Places may occur or are identified associated with active mining, liaise with the Heritage Council of Western Australia on those State Registered Places.</p>	<p>KCGM will implement a structured approach that addresses the following environmental objectives for detonating explosives:</p> <p>1. Timing and Wind Conditions for Detonations Schedule surface-level detonations when wind directions favour dust dispersion away from residential areas of Kalgoorlie-Boulder. This requires integrating real-time meteorological data into blasting plans and maintaining records of wind conditions at the time of each detonation. Exceptions (e.g., clearing crusher obstructions, making workings safe, or firing misfired holes) will be documented with justification.</p> <p>2. Restricted Detonation Hours Ensure explosives are detonated only between 0700 and 1800 hours, except for the same safety-related exceptions noted above. Compliance will be demonstrated through blast logs that record date, time, and reason for any out-of-hours detonations.</p> <p>3. Residential Buffer Zone Confirm that no active mining operations occur within 400 metres of properties zoned Residential under the Town Planning Scheme without written consent from both the owner and occupier. Maintain a register of consent forms / agreements and GIS mapping to verify buffer compliance.</p> <p>4. Heritage Liaison Where active mining may cause adverse impacts to State Registered Places, liaise with the Heritage Council of Western Australia. Document all communications, assessments, and mitigation measures in the internal stakeholder communications log.</p>	<p>Annual Compliance Assessment Report</p> <p>Blast logs/records - purpose of blast, time and wind conditions</p> <p>Spatial data showing distance to buffer zones</p> <p>INX InForm records - Copies of liaison with Heritage Council, where required, and copies of written consents / agreements</p>	Operations	Ongoing		
MS1258-B4-1	Greenhouse Gas Emissions	<p>The proponent must notify the CEO in writing within one month of it becoming aware that implementation of the significant amendment proposal will not be or is not expected to be regulated under the Safeguard Legislation as a designated large facility (the notifiable event) and such notice must briefly describe the reasons for and expected duration of the notifiable event.</p>	<p>KCGM will maintain a process to identify when the significant amendment proposal will not be, or is not expected to be, regulated under the Safeguard Legislation as a designated large facility. Once this determination is made, KCGM will notify the CEO in writing within one month, providing a brief explanation of the reasons for the change in regulatory</p>	<p>Annual Compliance Assessment Report</p> <p>INX InForm records</p> <p>Correspondence with EPA</p>	Operations	Within 1 month of becoming aware of proposal not being regulated under Safeguard Legislation		

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Compliance Status ¹	Further Information
			<p>status and the expected duration of the notifiable event.</p> <p>This notification will be supported by internal compliance review records and any relevant operational or emissions data that justify the status change. Copies of the notification and supporting documentation will be retained in the internal stakeholder communications log for audit and reporting purposes.</p>					
MS1258-B4-2	Greenhouse Gas Emissions	<p>The proponent must, if requested in writing by the CEO, provide the CEO with a report on the implications for the significant amendment proposal of any amendment or proposed amendment to the Safeguard Legislation, or a decision or proposed decision made under the Safeguard Legislation that is specified in the CEO's request.</p>	<p>KCGM will maintain a process for responding promptly to any written request from the CEO regarding amendments or proposed amendments to the Safeguard Legislation, or decisions made under that legislation that may affect the significant amendment proposal.</p> <p>Upon receiving such a request, KCGM will prepare and provide a report to the CEO that clearly outlines the implications of the legislative change or decision for the proposal. All correspondence and reports will be retained in the internal stakeholder communications log for audit and verification purposes.</p>	<p>Annual Compliance Assessment Report</p> <p>INX InForm records</p> <p>Correspondence with EPA</p>	Operations	As requested		
MS1258-B4-3	Greenhouse Gas Emissions	<p>The report required by condition B4-2 must:</p> <p>(1) Be submitted to the CEO within three months of the date of the CEO's request or such longer period as the CEO agrees to in writing.</p> <p>(2) Explain the implications that the specified amendment or decision has had or is expected to have on:</p> <p>(a) the obligation to reduce net Scope 1 greenhouse gas emissions from implementation of the significant amendment proposal under the Safeguard Legislation; and</p> <p>(b) the quantity of actual and net Scope 1 greenhouse gas emissions likely to result from the future implementation of the significant amendment proposal.</p>	<p>KCGM will ensure that the report required under Condition B4-2 is submitted to the CEO within three months of the date of the CEO's request, or within any extended timeframe agreed to in writing by the CEO.</p> <p>The report will explain the implications of the specified amendment or decision under the Safeguard Legislation on two key aspects:</p> <p>(a) the obligation to reduce net Scope 1 greenhouse gas emissions from implementation of the significant amendment proposal, and</p> <p>(b) the quantity of actual and net Scope 1 greenhouse gas emissions likely to result from future implementation of the proposal.</p> <p>The report will include a detailed analysis supported by emissions data, regulatory interpretation, and any operational adjustments required to maintain compliance. All correspondence, supporting evidence and reports will be retained in the internal stakeholder</p>	<p>Submitted report to the CEO within 3 months of request</p> <p>INX InForm records</p> <p>Correspondence with EPA</p>	Operations	<p>Within 3 months of CEO request</p> <p>Ongoing</p>		

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Compliance Status ¹	Further Information
			communications log for audit and verification purposes.					
MS1258-B5-1	Social Surroundings - Aboriginal Cultural Heritage	<p>The proponent must implement the significant amendment proposal to meet the following environmental outcomes:</p> <p>(1) No disturbance to Aboriginal cultural heritage sites in the development envelope, unless consent is granted to disturb that site under the Aboriginal Heritage Act 1972.</p> <p>(2) Subject to reasonable health and safety requirements, no interruption of ongoing access to land utilised for traditional use or custom by relevant Traditional Owners.</p>	<p>KCGM will ensure that the significant amendment proposal is implemented in a manner that achieves the specified environmental outcomes for cultural heritage. This includes ensuring that no disturbance occurs to Aboriginal cultural heritage sites within the development envelope unless formal consent has been granted under the <i>Aboriginal Heritage Act 1972</i>.</p> <p>Additionally, subject to reasonable health and safety requirements, KCGM will maintain ongoing access for relevant Traditional Owners to land used for traditional purposes or customs, ensuring that mining activities do not interrupt these practices.</p> <p>Compliance will be substantiated through implementation of the Land Disturbance Procedure, including Disturbance Permit Forms, ongoing cultural heritage surveys, consent documentation, cultural heritage management plans, and records of engagement with Traditional Owners, all retained in the internal stakeholder communications log for audit and reporting purposes.</p>	<p>Annual Compliance Assessment Report</p> <p>INX InForm records - engagement with Traditional Owners</p> <p>Spatial GIS datasets</p> <p>Disturbance Permit Forms</p>	Operations	Ongoing		
MS1258-B5-2	Social Surroundings - Aboriginal Cultural Heritage	<p>The proponent must implement the significant amendment proposal to meet the following environmental objective:</p> <p>(1) Avoid, and where unavoidable, minimise adverse impacts to Aboriginal cultural heritage within and surrounding the development envelope.</p>	<p>KCGM will implement the significant amendment proposal in a way that prioritises the avoidance of adverse impacts to Aboriginal cultural heritage within and surrounding the development envelope, and where avoidance is not possible, ensures impacts are minimized. This requires conducting comprehensive cultural heritage surveys prior to any ground disturbance, integrating avoidance strategies into project design, and applying mitigation measures where disturbance cannot be avoided.</p> <p>Engagement with relevant Traditional Owners will be documented to confirm that cultural values have been considered and respected. Evidence of compliance will include survey reports, design modifications to avoid heritage sites, records of consultation, and implementation of agreed mitigation measures, all retained in the internal</p>	<p>Annual Compliance Assessment Report</p> <p>INX InForm records - engagement with Traditional Owners</p> <p>Spatial GIS datasets</p> <p>Disturbance Permit Forms</p>	Operations	Ongoing		

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Compliance Status ¹	Further Information
			stakeholder communications log for audit and reporting purposes.					
MS1258-B6-1	Rehabilitation	<p>The proponent must implement the significant amendment proposal to meet the following environmental outcomes:</p> <p>(1) Rehabilitated vegetation is self-sustaining, including not adversely impacted by environmental weeds.</p> <p>(2) Rehabilitation includes the use of native seeds and propagated material collected from native vegetation within the disturbance footprint.</p> <p>(3) Rehabilitate habitat for the benefit of fauna where practicable to provide self-sustaining, re-established fauna habitat.</p> <p>(4) Establish vegetation to support dust mitigation.</p> <p>(5) Rehabilitated landforms are stable and do not cause pollution or environmental harm.</p> <p>(6) Rehabilitated drainage lines are stable, not prone to erosion, and support ecological processes.</p> <p>(7) Long term sustainability of water balance and water quality for beneficial uses in the affected area.</p> <p>(8) Undertake trials, in consultation with the Department of Biodiversity, Conservation and Attractions, to investigate the ability to successfully establishing a self-sustaining sub-population of <i>Eremophila praecox</i> within a modified habitat, within rehabilitation areas that are not likely to be re-disturbed and which will provide connectivity to other sub-populations, including through research as identified in condition B7-1 (3).</p> <p>(9) Undertake trials, in consultation with the Department of Biodiversity, Conservation and Attractions, that will determine if the re-establishment of vegetation associated with habitat for <i>Jalmenus aridus</i> is practical and, if successful, implement this re-establishment in rehabilitation areas that are not likely to be re-disturbed and which will provide connectivity with the other populations.</p> <p>(10) Annual reporting on progressive rehabilitation against completion criteria, to ensure they are evidence-based, effective and achievable.</p> <p>(11) Closure planning and rehabilitation are undertaken in a progressive manner consistent with achievement of the above outcomes during operations, where practicable, and as soon as practicable upon closure.</p>	<p>KCGM will implement the significant amendment proposal to achieve the specified rehabilitation outcomes.</p> <p>Rehabilitated vegetation will be self-sustaining and free from adverse impacts caused by environmental weeds, using native seeds and propagated material collected from vegetation within the disturbance footprint.</p> <p>Rehabilitation should aim to restore fauna habitat where practicable, establish vegetation that supports dust mitigation, and ensure landforms are stable and do not cause pollution or environmental harm.</p> <p>Drainage lines will be rehabilitated to remain stable, resist erosion, and support ecological processes, while maintaining long-term sustainability of water balance and water quality for beneficial uses.</p> <p>KCGM will undertake trials, in consultation with the Department of Biodiversity, Conservation and Attractions, to determine the feasibility of establishing a self-sustaining sub-population of <i>Eremophila praecox</i> and re-establishing vegetation associated with <i>Jalmenus aridus</i> habitat in areas unlikely to be re-disturbed, ensuring connectivity with existing populations.</p> <p>Progressive rehabilitation will be reported annually against evidence-based completion criteria and integrated into closure planning to ensure timely achievement of these outcomes during operations and as soon as practicable upon closure.</p>	<p>Annual Compliance Assessment Report</p> <p>Annual MRF Data and Reporting (DMPE)</p> <p>Annual Environment Reporting (DMPE)</p> <p>Annual Rehabilitation Monitoring, including photos</p> <p>Correspondence with DBCA regarding research trials</p>	Operations	Annually		
MS1258-B6-2	Rehabilitation	The proponent must include the environmental outcomes of condition B6-1 in the Mine Closure Plan required under the <i>Mining Act 1978</i> and submitted for approval to the Department of Energy, Mines, Industry Regulation and Safety.	KCGM will ensure that the environmental outcomes specified in Condition B6-1 are fully incorporated into the Mine Closure Plan required under the <i>Mining Act 1978</i> and submitted for approval to	<p>Annual Compliance Assessment Report</p> <p>Confirmed/approved Mine Closure Plan (DMPE)</p>	Operations	Ongoing		

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Compliance Status ¹	Further Information
			<p>the Department of Mines, Petroleum and Exploration (DMPE).</p> <p>This includes detailing strategies for achieving self-sustaining rehabilitated vegetation free from environmental weeds, using native seeds and propagated material sourced from the disturbance footprint, restoring fauna habitat where practicable, stabilizing landforms and drainage lines, maintaining water balance and quality, and implementing dust mitigation measures.</p> <p>The plan will also outline progressive rehabilitation, annual reporting against evidence-based completion criteria, and trials for establishing <i>Eremophila praecox</i> and <i>Jalmenus aridus</i> habitats in consultation with the Department of Biodiversity, Conservation and Attractions. All commitments will be clearly linked to closure objectives and supported by monitoring and adaptive management measures to ensure long-term sustainability.</p>	<p>Annual Environment Report (DMPE)</p> <p>Annual Rehabilitation Monitoring (Photos)</p>				
MSB7-1	Restoration and Research	<p>The proponent shall contribute to a research program for <i>Eremophila praecox</i> and <i>Jalmenus aridus</i> within twelve (12) months of implementation of the significant amendment proposal. The research program should:</p> <p>(1) Identify the objectives and intended outcomes and specify the deliverables.</p> <p>(2) Identify opportunities for management contributions on reserved lands in consultation with the Department of Biodiversity, Conservation and Attractions.</p> <p>(3) For <i>Eremophila praecox</i> only, investigate the biological and ecological requirements of the species, in consultation with the Department of Biodiversity, Conservation and Attractions.</p> <p>(4) Identify how the research will result in a positive conservation outcome and will address knowledge gaps that have been identified as a research priority needed to improve the management and protection for the species.</p> <p>(5) Provide an implementation and reporting schedule, including an outline of key activities, all deliverables, stages of implementation, reporting of research results (including interim results), reporting on implementation status, and targets or milestones.</p> <p>(6) Identify the governance arrangements including responsibilities for implementing, and oversight of, the research program,</p>	<p>KCGM will contribute to a research program for <i>Eremophila praecox</i> and <i>Jalmenus aridus</i> within twelve months of implementing the significant amendment proposal.</p> <p>The research program will clearly identify objectives, intended outcomes, and deliverables, as well as opportunities for management contributions on reserved lands in consultation with the Department of Biodiversity, Conservation and Attractions (DBCA).</p> <p>For <i>Eremophila praecox</i>, the program will investigate the species' biological and ecological requirements in consultation with DBCA. The research will outline how it will deliver positive conservation outcomes and address knowledge gaps identified as priorities for improving species management and protection.</p> <p>An implementation and reporting schedule will be provided, detailing key activities, deliverables, milestones, and reporting of interim and final results. Governance arrangements will be defined, including responsibilities,</p>	<p>INX InForm records - confirmed research trials by EPA</p> <p>Correspondence with DBCA regarding research trials</p> <p>Research program documentation</p>	Operations	Ongoing		

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Compliance Status ¹	Further Information
		<p>agreements with government agencies, agreements with any third parties, and contingency measures.</p> <p>(7) Identify how a research program summary, and the results (including interim results) of the research program will be communicated and/or published in an open access format.</p> <p>(8) Identify the third party to carry out the work required to meet the outcomes of condition B7-1, who is satisfactory for the role to the CEO. In applying to the CEO for endorsement of the selected third parties, the proponent shall provide: (a) demonstration of the track record, experience, qualifications and competencies of the proposed third party to carry out the work and achieve the outcomes.</p> <p>(9) Make results publicly available, in a manner approved by the CEO.</p>	<p>agreements with government agencies and third parties, and contingency measures.</p> <p>The program will also specify how results will be communicated or published in an open-access format. KCGM will identify a suitable third party to carry out the research, provide evidence of their qualifications and experience for CEO endorsement, and ensure that research results are made publicly available in a manner approved by the CEO.</p>					
MS1258-B8-1	Environmental Performance Reporting	The proponent shall submit an Environmental Performance Report to the Minister every five (5) years.	<p>KCGM will submit an Environmental Performance Report to the Minister every five years, as required.</p> <p>This involves maintaining a structured reporting process that captures performance against all relevant environmental conditions and outcomes, including monitoring data, rehabilitation progress, emissions management, cultural heritage protection, and any research commitments.</p> <p>The report will include evidence-based assessments, trend analysis, and verification of compliance with approval conditions, supported by maps, photographs, and data tables where applicable.</p>	<p>Environmental Performance Report</p> <p>INX InForm records</p>	Operations	5-Yearly		
MS1258-B8-2		The first Environmental Performance Report shall be submitted within three months after five (5) years from the date this statement is issued, or such other time as may be approved by the CEO.	<p>KCGM will ensure that the first Environmental Performance Report is submitted to the Minister within three months after five years from the date the statement is issued, or within any alternative timeframe approved in writing by the CEO.</p> <p>This requires maintaining a compliance calendar to track the five-year milestone and any approved extensions, preparing the report in accordance with regulatory requirements, and including evidence of environmental performance against all relevant conditions and outcomes.</p> <p>The report will be finalised and lodged within the specified timeframe, and copies of the submission and supporting</p>	<p>Environmental Performance Report</p> <p>INX InForm records</p>	Operations	Within 3 months after 5 years from the date the statement is issued (i.e. January 2031)		

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Compliance Status ¹	Further Information
			documentation will be retained for audit and verification purposes.					
MS1258-B8-3	Environmental Performance Reporting	<p>Each Environmental Performance Report shall report on the following matters:</p> <p>(1) Noise impacts: (a) Complaints received and actioned. (b) Results of any noise modelling exercise(s). (c) Improvements in technology that reduce noise emissions. (d) Results of compliance with the set noise limits via the Regulation 17 and a comparison of noise emissions from operations to the noise regulations.</p> <p>(2) Air emissions (dust) impacts: (a) Complaints received and actioned. (b) Results of any air quality modelling exercise(s). (c) Improvements in technology that reduce air emissions. (d) Review emission levels against the relevant air quality standard.</p> <p>(3) <i>Eremophila praecox</i> restoration and research.</p> <p>(4) <i>Jalmenus aridus</i> relocation, restoration and research.</p> <p>(5) Stakeholder and community consultation about environmental performance and the outcomes of that consultation, including a report of any on-going concerns being expressed.</p> <p>(6) The proposed environmental objectives over the next five years, including improvements in technology and management processes.</p>	<p>KCGM will ensure each Environmental Performance Report will comprehensively address the matters specified in the condition. The report will include a section on Noise Impacts, detailing complaints received and actions taken, results of any noise modelling exercises, improvements in technology that reduce noise emissions, and compliance results against set noise limits under Regulation 17, including a comparison of operational noise emissions to regulatory standards.</p> <p>For Air Emissions (Dust) Impacts, the report will outline complaints received and addressed, results of air quality modelling, any technological improvements that have been implemented to reduce emissions, and a review of emission levels against relevant air quality standards.</p> <p>Additional sections will report on progress and outcomes related to <i>Eremophila praecox</i> and <i>Jalmenus aridus</i> restoration, relocation and research, and stakeholder and community consultation, including any ongoing concerns raised.</p> <p>Finally, the report will set out the proposed environmental objectives for the next five years, highlighting planned improvements in technology and management processes. All findings will be supported by evidence such as monitoring data, modelling outputs and consultation records.</p>	<p>Environmental Performance Report</p> <p>Annual Noise Reports</p> <p>Annual Dust Event Analysis Review Reports</p> <p>Research Trial Results</p> <p>INX InForm records - Complaints log, Stakeholder Communication and Engagement</p>	Operations	5-Yearly		
MS1258-B8-4	Environmental Performance Reporting	<p>The environmental performance report must include:</p> <p>(1) A comparison of the matters identified in condition B8-3 at the end of the 5-year period; against the state of each matter at the beginning of the 5- year period.</p> <p>(2) A comparison of the matters identified in condition B8-3 at the end of the 5-year period; against the state of the matters identified in first environmental performance report submitted in accordance with condition B8-1.</p> <p>(3) Proposed adaptive management and continuous improvement strategies.</p>	<p>KCGM will ensure that each Environmental Performance Report includes a comparative analysis of the matters identified in Condition B8-3. This involves providing:</p> <ol style="list-style-type: none"> 1. A comparison of the state of each matter at the end of the five-year reporting period against its state at the beginning of that period; 2. A comparison of the same matters at the end of the five-year period against the baseline established in the first 	<p>Environmental Performance Report</p> <p>Annual Noise Reports</p> <p>Annual Dust Event Review Reports</p> <p>Research Trial Results</p> <p>INX InForm records - Complaints log, Stakeholder Communication and Engagement</p>	Operations	5-Yearly		

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Compliance Status ¹	Further Information
			<p>Environmental Performance Report submitted under Condition B8-1; and</p> <p>3. A section outlining proposed adaptive management and continuous improvement strategies based on the findings of these comparisons. These analyses will be supported by quantitative data, trend graphs, and documented evidence of actions taken, ensuring transparency and accountability in environmental performance reporting.</p>					
MS1258-B8-5	Environmental Performance Reporting	The Environmental Performance Report may be in whole, or part, prepared in conjunction with other proponents where there are cumulative impacts from their proposals.	<p>KCGM will ensure that the Environmental Performance Report is prepared in collaboration with other proponents (as required) where cumulative impacts occur. This means the report may be developed wholly or partially in conjunction with other proponents operating in the same region, provided that shared sections address cumulative environmental impacts such as noise, dust, and biodiversity outcomes. The collaborative report will clearly identify each proponent's contributions, responsibilities, and data sources to maintain transparency and accountability.</p> <p>Evidence of compliance will include documented agreements or correspondence with other proponents, joint data analysis, and integrated reporting sections that reflect cumulative impact assessments.</p>	<p>Environmental Performance Report</p> <p>Annual Noise Reports</p> <p>Annual Dust Event Review Reports</p> <p>Research Trial Results</p> <p>INX InForm records - Complaints log, Stakeholder Communication and Engagement</p>	Operations	5-Yearly		
MS 1258-B8-6	Environmental Performance Reporting	Each Environmental Performance Report must be published on the proponent's website and provided to the CEO in electronic form suitable for on-line publication by the Department of Water and Environmental Regulation within twenty (20) business days of being provided to the Minister.	<p>KCGM will ensure that each Environmental Performance Report is published on the Northern Star/KCGM website and provided to the CEO in an electronic format suitable for online publication by the Department of Water and Environmental Regulation within 20 business days of being submitted to the Minister. This requires establishing a documented process that includes:</p> <ol style="list-style-type: none"> 1. Uploading the report to the KCGM's public website in an accessible format (e.g., PDF), 2. Submitting the electronic version to the CEO in the format specified by the Department, and 	<p>Environmental Performance Report</p> <p>INX InForm records</p> <p>Finalised document available on Website</p>	Operations	Within 20 business days of being submitted to the Minister		

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Compliance Status ¹	Further Information
			<p>3. Maintaining records of submission dates, website publication confirmation, and correspondence with the CEO.</p> <p>All evidence, including screenshots of the published report and email submission logs, will be retained for audit and verification purposes.</p>					
MS 1258-B9-1	Social Surroundings - Amenity	The proponent must consult with nearby currently occupied residences (within 500 metres) of the Tailings Storage Facility III of the proposal to provide potable and non-potable water, if requested by those residences.	KCGM will consult with all currently occupied residences within 500 metres of Tailings Storage Facility III to offer potable and non-potable water upon request. Records of consultation, requests received, and water provision will be documented and retained for audit and reporting purposes.	INX InForm records - Stakeholder Communication and Engagement	Operations	Ongoing		
MS 1258-C1-1	Environmental Management Plans: Conditions Related to Commencement of Implementation of the Proposal	<p>The proponent must not undertake:</p> <p>(1) Mining activities forming part of the expanded and revised proposal until the CEO, (a) in consultation with the Department of Biodiversity, Conservation and Attractions, has confirmed in writing that the environmental management plan required by condition B1-2; and (b) has confirmed in writing that the environmental management plan required by condition B3-3, meets the requirements of those conditions and condition C4.</p> <p>(2) Construction of the Waste Rock Dumps forming part of the expanded and revised proposal until the CEO, in consultation with the Department of Biodiversity, Conservation and Attractions, has confirmed in writing that the environmental management plan required by condition B2-3 meets the requirements of those conditions and condition C4.</p>	<p>KCGM will not commence mining activities forming part of the expanded and revised proposal until the CEO, in consultation with the Department of Biodiversity, Conservation and Attractions (DBCA), has confirmed in writing that the environmental management plans required under Condition B1-2 and Condition B3-3 meet the requirements of those conditions and Condition C4.</p> <p>Similarly, construction of Waste Rock Dumps will not begin until the CEO, in consultation with DBCA, has confirmed in writing that the environmental management plan required under Condition B2-3 satisfies the relevant conditions and Condition C4.</p> <p>Evidence of compliance will include consultation records, water provision logs, written confirmations from the CEO, and copies of approved environmental management plans, all retained for audit and reporting purposes.</p>	<p>INX InForm records</p> <p>MRF Data and Reporting Annual Environment Reporting (DMPE)</p>	Operations	Ongoing		
MS 1258-C2-1	Environmental Management Plans: Conditions Relating to Approval, Implementation, Review and Publication	<p>Upon being required to implement an environmental management plan under Part B, or after receiving notice in writing from the CEO under condition C1-1 that the environmental management plan(s) required in Part B satisfies the relevant requirements, the proponent must:</p> <p>(1) Implement the most recent version of the confirmed environmental management plan; and</p> <p>(2) Continue to implement the confirmed environmental management plan referred to in condition C2-1 (1), other than for</p>	<p>KCGM will implement the most recent version of the confirmed environmental management plan upon being required under Part B or after receiving written confirmation from the CEO under Condition C1-1 that the plan satisfies the relevant requirements.</p> <p>KCGM will continue implementing this confirmed plan as required under Condition C2-1, except during any</p>	<p>Confirmed (approved) Environmental Management Plans</p> <p>INX InForm records - EPA Correspondence</p> <p>Compliance Assessment Reports will include audit table against Environmental Management Plans' requirements</p>	Operations	Annually		

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Compliance Status ¹	Further Information
		any period which the CEO confirms by notice in writing that it has been demonstrated that the relevant requirements for the environmental management plan have been met, or are able to be met under another statutory decision-making process, in which case the implementation of the environmental management plan is no longer required for that period.	<p>period where the CEO provides written confirmation that the requirements have been met or can be met under another statutory decision-making process, in which case implementation is not required for that period.</p> <p>Evidence of compliance will include copies of CEO confirmation letters, records of plan implementation activities, and documentation of any periods where implementation was suspended under CEO approval and retained for audit and reporting purposes.</p>					
MS 1258-C2-2	Environmental Management Plans: Conditions Relating to Approval, Implementation, Review and Publication	<p>The proponent:</p> <p>(1) May review and revise a confirmed environmental management plan provided it meets the relevant requirements of that environmental management plan, including any consultation that may be required when preparing the environmental management plan;</p> <p>(2) Must review and revise a confirmed environmental management plan and ensure it meets the relevant requirements of that environmental management plan, including any consultation that may be required when preparing the environmental management plan, as and when directed by the CEO; and</p> <p>(3) Must revise and submit to the CEO the confirmed environmental management plan if there is a material risk that the outcomes or objectives it is required to achieve will not be complied with, including but not limited to as a result of a change to the significant amendment proposal.</p>	<p>KCGM will maintain a clear process for reviewing and revising the confirmed Environmental Management Plan (EMP) in line with regulatory requirements.</p> <p>KCGM may voluntarily review and revise the EMP, ensuring that any changes meet the plan's relevant requirements and include any necessary consultation.</p> <p>When directed by the CEO, KCGM will promptly review and revise the EMP to ensure full compliance, again incorporating required consultation and documenting all updates.</p> <p>Additionally, if there is a material risk that the EMP's objectives or outcomes will not be achieved—such as due to operational changes or a significant amendment proposal – KCGM will revise the EMP and submit the updated version to the CEO.</p> <p>Evidence of compliance will include version-controlled revision logs, consultation records, risk assessments, and submission acknowledgments, supported by a documented procedure that triggers mandatory reviews under these conditions.</p>	<p>Confirmed (approved) Environmental Management Plans</p> <p>INX InForm records - record of correspondence with EPA</p> <p>Compliance Assessment Reports will include audit table against Environmental Management Plans' requirements</p>	Operations	Ongoing		
MS 1258-C2-3	Environmental Management Plans: Conditions Relating to Approval, Implementation, Review and Publication	Despite condition C2-1, but subject to conditions C2-4 and C2-5, the proponent may implement minor revisions to an environmental management plan if the revisions will not result in new or increased adverse impacts to the environment or result in a risk to the achievement of the limits, outcomes or objectives which the environmental management plan is required to achieve.	KCGM will maintain a documented process that allows minor revisions to an Environmental Management Plan (EMP) only when those changes do not introduce new or increased adverse environmental impacts and do not create a risk to achieving the plan's limits, outcomes, or objectives.	<p>Confirmed (approved) Environmental Management Plans</p> <p>Document Control Procedures and Records</p>	Operations	Ongoing		

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Compliance Status ¹	Further Information
MS 1258-C2-4	Environmental Management Plans: Conditions Relating to Approval, Implementation, Review and Publication	<p>If the proponent is to implement minor revisions to an environmental management plan under condition C2-3, the proponent must provide the CEO with the following at least twenty (20) business days before it implements the revisions:</p> <p>(1) The revised environmental management plan clearly showing the minor revisions;</p> <p>(2) An explanation of and justification for the minor revisions; and</p> <p>(3) An explanation of why the minor revisions will not result in new or increased adverse impacts to the environment or result in a risk to the achievement of the limits, outcomes or objectives which the environmental management plan is required to achieve.</p>	<p>KCGM will establish and follow a documented process that ensures all minor revisions to an Environmental Management Plan (EMP) under condition C2-3 are submitted to the CEO at least twenty (20) business days prior to implementation. The submission will include:</p> <ol style="list-style-type: none"> 1. All minor changes clearly marked, using version control or tracked changes; 2. A detailed explanation and justification for each minor revision, outlining why the changes are necessary; and 3. A clear statement demonstrating that the revisions will not result in new or increased adverse environmental impacts or create a risk to achieving the EMP's limits, outcomes, or objectives. <p>Evidence of compliance will include submission records, acknowledgment from the CEO, and supporting documentation such as impact assessments and internal approval forms. This process provides transparency, ensures regulatory alignment, and maintains an auditable trail of decision-making.</p>	<p>Confirmed (approved) Environmental Management Plans</p> <p>INX InForm records</p> <p>Document Control Procedures and Records</p>	Operations	Within 20 business days before implementation		
MS 1258-C2-5	Environmental Management Plans: Conditions Relating to Approval, Implementation, Review and Publication	The proponent must cease to implement any revisions which the CEO notifies the proponent (at any time) in writing may not be implemented.	KCGM will immediately cease the implementation of any Environmental Management Plan (EMP) revisions upon receiving written notification from the CEO that such revisions may not be implemented. Maintaining an auditable trail – such as email correspondence, internal instructions to cease work, and confirmation of suspension—ensures transparency and demonstrates that KCGM acted promptly and in accordance with the condition.	<p>INX InForm records – Correspondence with EPA</p> <p>Internal communications</p>	Operations	Ongoing		
MS 1258-C2-6	Environmental Management Plans: Conditions Relating to Approval, Implementation, Review and Publication	Confirmed environmental management plans, and any revised environmental management plans under condition C2-4(1), must be published on the proponent's website and provided to the CEO in electronic form suitable for on-line publication by the Department of Water and Environmental Regulation within twenty (20) business days of being implemented, or being required to be implemented (whichever is earlier).	KCGM will ensure that each Environmental Management Plan is published on the KCGM's website and provided to the CEO in an electronic format suitable for online publication by the Department of Water and Environmental Regulation within 20 business days of being implemented. This requires establishing a documented process that includes:	<p>Confirmed (approved) Environmental Management Plans</p> <p>INX InForm records</p> <p>Final document available on website.</p>	Operations	Within twenty (20) business days of being implemented, or being required to be implemented (whichever is earlier).		

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Compliance Status ¹	Further Information
			<p>1. Uploading the report to the KCGM's public website in an accessible format (e.g., PDF),</p> <p>2. Submitting the electronic version to the CEO in the format specified by the Department, and</p> <p>3. Maintaining records of submission dates, website publication confirmation, and correspondence with the CEO.</p> <p>All evidence, including screenshots of the published report and email submission logs, will be retained for audit and verification purposes.</p>					
MS 1258-C3-1	Conditions Related to Monitoring	<p>The proponent must undertake annual monitoring capable of:</p> <p>(1) Substantiating whether the significant amendment proposal limitations and extents in Part A are exceeded; and</p> <p>(2) Detecting and substantiating whether the environmental outcomes identified in Part B are achieved (excluding any environmental outcomes in Part B where an environmental management plan is expressly required to monitor achievement of that outcome).</p>	<p>KCGM will implement an annual monitoring program designed to verify two key aspects:</p> <p>1. Whether the significant amendment proposal limitations and spatial extents specified in Part A have been exceeded; and</p> <p>2. Whether the environmental outcomes identified in Part B are being achieved, excluding those outcomes for which achievement monitoring is expressly required under a separate environmental management plan.</p> <p>Evidence of compliance will include a documented monitoring schedule, methodologies capable of detecting and substantiating compliance (such as sampling protocols, GIS mapping, and trend analysis), and annual reports summarising findings against Part A and Part B requirements.</p>	<p>Annual Compliance Assessment Report</p> <p>MRF Data and Reporting (DMPE)</p> <p>Spatial GIS datasets</p> <p>Compliance Assessment Reports will include audit table against Environmental Management Plans' requirements</p>	Operations	Annually		
MS 1258-C3-2	Conditions Related to Monitoring	<p>The proponent must submit as part of the Compliance Assessment Report required by condition D2, a compliance monitoring report that:</p> <p>(1) Outlines the monitoring that was undertaken during the implementation of the significant amendment proposal;</p> <p>(2) Identifies why the monitoring was capable of substantiating whether the significant amendment proposal limitation and extents in part a are exceeded;</p> <p>(3) For any environmental outcomes to which condition C3-1(2) applies, identifies why the monitoring was scientifically robust and capable of detecting whether the environmental outcomes in part b are met;</p>	<p>KCGM will include in the Compliance Assessment Report a comprehensive compliance monitoring report that addresses all six specified elements. The report will:</p> <p>1. Clearly outline the monitoring activities undertaken during the implementation of the significant amendment proposal, including timing, locations, and methods;</p> <p>2. Provide justification for why the monitoring approach was capable of substantiating whether the limitations</p>	<p>Annual Compliance Assessment Report</p> <p>Compliance Assessment Reports will include audit table against Environmental Management Plans' requirements</p> <p>Compliance monitoring report</p>	Operations	Annually		

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Compliance Status ¹	Further Information
		<p>(4) Outlines the results of the monitoring;</p> <p>(5) Reports whether the significant amendment proposal limitations and extents in Part A were exceeded and (for any environmental outcomes to which condition C3-1(2) applies) whether the environmental outcomes in Part B were achieved, based on analysis of the results of the monitoring; and</p> <p>(6) Reports any actions taken by the proponent to remediate any potential non-compliance.</p>	<p>and extents in Part A were exceeded, referencing relevant standards or methodologies;</p> <p>3. For environmental outcomes subject to condition C3-1(2), explain why the monitoring was scientifically robust and capable of detecting achievement of the outcomes in Part B, including details on sampling design, frequency, and analytical techniques;</p> <p>4. Present the results of the monitoring in a transparent and auditable format, such as tables, graphs, and spatial data;</p> <p>5. Analyse the results to report whether the proposal limitations and extents in Part A were exceeded and, where applicable, whether the environmental outcomes in Part B were achieved; and</p> <p>6. Document any actions taken to remediate potential non-compliance, including timelines and effectiveness of corrective measures.</p> <p>Supporting evidence will include raw data, QA/QC procedures, and version-controlled documentation to ensure credibility and traceability.</p>					
MS1258-C4-1	Environmental Management Plans: Conditions Relating to Monitoring and Adaptive Management for Outcomes Based Conditions	<p>The environmental management plans required under conditions B1-2, B2-3 and B3-3 must contain provisions which enable the substantiation of whether the relevant outcomes of those conditions are met, and must include:</p> <p>(1) Threshold criteria that provide a limit beyond which the environmental outcomes are not achieved;</p> <p>(2) Trigger criteria that will provide an early warning that the environmental outcomes are not likely to be met;</p> <p>(3) Monitoring parameters, sites, control/reference sites, methodology, timing and frequencies which will be used to measure threshold criteria and trigger criteria. Include methodology for determining alternate monitoring sites as a contingency if proposed sites are not suitable in the future;</p> <p>(4) Baseline data;</p> <p>(5) Data collection and analysis methodologies;</p> <p>(6) Adaptive management methodology;</p> <p>(7) Contingency measures which will be implemented if threshold criteria or trigger criteria are not met; and</p>	<p>KCGM will ensure that all Environmental Management Plans (EMP) required under conditions B1-2, B2-3, and B3-3 include provisions that enable verification of whether the relevant outcomes are met. Each EMP will contain:</p> <p>1. Threshold criteria that define the limits beyond which environmental outcomes are not achieved;</p> <p>2. Trigger criteria to provide early warning that outcomes may not be met;</p> <p>3. Detailed monitoring parameters, including sites, control/reference sites, methodology, timing, and frequency, as well as a contingency methodology for selecting alternate sites if proposed sites become unsuitable;</p> <p>4. Baseline data to establish reference conditions;</p>	<p>Confirmed (approved) Environmental Management Plans</p> <p>Compliance Assessment Reports will include audit table against Environmental Management Plans' requirements</p>	Operations	Ongoing		

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Compliance Status ¹	Further Information
		(8) Reporting requirements.	<p>5. Clear data collection and analysis methodologies to ensure consistency and scientific robustness;</p> <p>6. An adaptive management methodology outlining how monitoring results will inform management actions;</p> <p>7. Contingency measures to be implemented if threshold or trigger criteria are breached; and</p> <p>8. Defined reporting requirements to ensure transparency and regulatory compliance.</p> <p>Evidence of compliance will include version-controlled EMPs, documented baseline studies, monitoring protocols and adaptive management plans that demonstrate the EMPs can achieve the required outcomes.</p>					
MS1258-C4-2	Environmental Management Plans: Conditions Relating to Monitoring and Adaptive Management for Outcomes Based Conditions	<p>The plan required under condition B1-2 is also required to include:</p> <p>(1) Confirmation of the following: (a) location and number of each <i>Eremophila praecox</i> monitoring plot (b) location and number of each monitoring point to identify indirect impacts to <i>Eremophila praecox</i>.</p> <p>(2) Justification for the proposed monitoring regime in its ability to identify indirect impacts to <i>Eremophila praecox</i>.</p> <p>(3) Protocol for any new <i>Eremophila praecox</i> populations identified as a result of monitoring undertaken for this significant amendment proposal.</p>	<p>KCGM will ensure the Environmental Management Plan (EMP) prepared under condition B1-2 will include specific provisions addressing <i>Eremophila praecox</i> monitoring. The plan will:</p> <p>1. Confirm the location and number of each <i>Eremophila praecox</i> monitoring plot and the location and number of monitoring points established to identify indirect impacts on the species;</p> <p>2. Provide a clear justification for the proposed monitoring regime, explaining how it is scientifically robust and capable of detecting indirect impacts to <i>Eremophila praecox</i>; and</p> <p>3. Outline a protocol for managing any newly identified <i>Eremophila praecox</i> populations discovered during monitoring for the significant amendment proposal, including steps for documentation, assessment, and integration into ongoing management.</p> <p>Evidence of compliance will include detailed maps, monitoring design documentation, scientific rationale for methodology, and contingency protocols, all incorporated into the EMP and supported by version-controlled records.</p>	<p>Confirmed Environmental Management Plan</p> <p>Annual Flora Monitoring and Assessment Reports</p> <p>Compliance Assessment Reports will include audit table against Environmental Management Plans' requirements</p>	Operations	Annually		

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Compliance Status ¹	Further Information
MS1258-C4-3	Environmental Management Plans: Conditions Relating to Monitoring and Adaptive Management for Outcomes Based Conditions	<p>The plan required under condition B2-3 is also required to include:</p> <p>(1) Confirmation of the following: (a) <i>Jalmenus aridus</i> breeding at proposed control sites (b) location and number of each <i>Jalmenus aridus</i> habitat transect (c) location and number of each breeding and potential <i>Jalmenus aridus</i> breeding shrub (d) location and number of each monitoring point to identify indirect impacts to <i>Jalmenus aridus</i> breeding shrubs.</p> <p>(2) Justification for the proposed monitoring regime in its ability to identify indirect impacts to <i>Jalmenus aridus</i> breeding shrubs.</p> <p>(3) Protocol for any new <i>Jalmenus aridus</i> breeding shrubs identified as a result of monitoring undertaken for this significant amendment proposal.</p>	<p>KCGM will ensure the Environmental Management Plan (EMP) prepared under condition B2-3 will include detailed provisions for <i>Jalmenus aridus</i> monitoring. Specifically, the plan will:</p> <p>1. Confirm monitoring details, including:</p> <ul style="list-style-type: none"> Evidence of <i>Jalmenus aridus</i> breeding at proposed control sites; The location and number of each <i>Jalmenus aridus</i> habitat transect; The location and number of each breeding and potential breeding shrub; The location and number of monitoring points established to detect indirect impacts on <i>Jalmenus aridus</i> breeding shrubs. <p>2. Provide justification for the monitoring regime, explaining how the proposed methodology is scientifically robust and capable of identifying indirect impacts to <i>Jalmenus aridus</i> breeding shrubs.</p> <p>3. Include a protocol for new discoveries, outlining steps for documenting, assessing, and managing any newly identified <i>Jalmenus aridus</i> breeding shrubs found during monitoring for the significant amendment proposal.</p> <p>Evidence of compliance will include detailed maps, monitoring design documentation, scientific rationale for chosen methods, and contingency protocols, all incorporated into the EMP and supported by version-controlled records.</p>	<p>Confirmed Environmental Management Plan</p> <p>Annual Fauna Monitoring and Assessment Reports</p> <p>Compliance Assessment Reports will include audit table against Environmental Management Plans' requirements</p>	Operations	Annually		
MS1258-C4-4	Environmental Management Plans: Conditions Relating to Monitoring and Adaptive Management for Outcomes Based Conditions	<p>The plan required under condition B3-3 is to be updated if new community- based or boundary monitor(s) are established.</p>	<p>KCGM will ensure the Environmental Management Plan (EMP) prepared under condition B3-3 will include a clear provision stating that the plan will be updated whenever new community-based or boundary monitors are established.</p> <p>Evidence of compliance will include version-controlled documentation</p>	<p>Confirmed/Updated Environmental Management Plan</p> <p>INX InForm records - correspondence with EPA</p> <p>Assessments/studies/modelling undertaken to determine rationale of proposed new dust monitor locations</p>	Operations	As required, if new community-based or boundary monitor(s) are established		

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Compliance Status ¹	Further Information
			showing the inclusion of this commitment within the EMP, as well as a formal procedure for updating the plan when such monitors are introduced. This procedure will outline steps for identifying new monitors, incorporating their locations and monitoring protocols into the EMP, and notifying relevant stakeholders of the update. Maintaining an auditable trail of updates and stakeholder communication ensures transparency and regulatory alignment.					
MS1258-C4-5	Environmental Management Plans: Conditions Relating to Monitoring and Adaptive Management for Outcomes Based Conditions	Without limiting condition C3-1, failure to achieve an environmental outcome, or the exceedance of a threshold criteria, regardless of whether threshold contingency measures have been or are being implemented, represents a non-compliance with these conditions.	<p>KCGM acknowledges that any failure to achieve an environmental outcome or any exceedance of a threshold criterion constitutes a non-compliance, regardless of whether contingency measures have been implemented. This is reflected in the Environmental Management System and reporting processes by:</p> <ul style="list-style-type: none"> • Including a clear statement in the Environmental Management Plan (EMP) that non-compliance is triggered by either outcome failure or threshold exceedance, even if corrective actions are underway. • Maintaining monitoring and reporting protocols that identify and record such events promptly, supported by data analysis and verification. • Documenting non-compliance events in the Compliance Assessment Report, detailing the nature of the exceedance or failure, actions taken, and timelines for remediation. • Implementing an auditable escalation process to notify regulators and internal stakeholders immediately upon detection of non-compliance. <p>This approach ensures transparency and demonstrates that KCGM understands and adheres to the regulatory definition of non-compliance under this condition.</p>	<p>Annual Compliance Assessment Report</p> <p>Compliance Assessment Reports will include audit table against Environmental Management Plans' requirements</p>	Operations	Annually		
MS1258-D1-1	Non-compliance Reporting	<p>If the proponent becomes aware of a potential non-compliance, the proponent must:</p> <p>(1) Report this to the CEO within seven (7) days;</p>	KCGM has a documented and auditable process for managing potential non-compliance events in accordance with the eight specified actions. The process	Email correspondence sent to compliance@dwer.wa.gov.au and info@dwer.wa.gov.au	Operations	Non-compliance reported within 7 days		

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Compliance Status ¹	Further Information
		(2) Implement contingency measures; (3) Investigate the cause; (4) Investigate environmental impacts; (5) Advise rectification measures to be implemented; (6) Advise any other measures to be implemented to ensure no further impact; (7) Advise timeframe in which contingency, rectification and other measures have and/or will be implemented; and (8) Provide a report to the CEO within twenty-one (21) days of being aware of the potential non-compliance, detailing the measures required in conditions D1 -1 (1) to D1-1(7) above.	will include: 1. Immediate Reporting: Notify the CEO within seven (7) days of becoming aware of a potential non-compliance, supported by evidence such as email records or formal letters. 2. Contingency Implementation: Activate contingency measures promptly to mitigate any potential impacts, with documented actions and timelines. 3. Cause Investigation: Conduct a root cause analysis to determine why the non-compliance occurred, using structured investigation reports. 4. Impact Assessment: Investigate and document any environmental impacts resulting from the non-compliance, supported by monitoring data and analysis. 5. Rectification Measures: Advise the CEO of corrective actions to address the non-compliance and prevent recurrence. 6. Additional Measures: Identify and communicate any further measures required to ensure no additional impacts occur. 7. Timeframe Commitment: Provide a clear schedule for implementing contingency, rectification, and other measures, ensuring accountability. 8. Final Report Submission: Submit a comprehensive report to the CEO within twenty-one (21) days of becoming aware of the potential non-compliance, detailing all actions taken under points (1) to (7). Evidence of compliance will include version-controlled reports, communication records, investigation documentation, and implementation logs. This structured approach demonstrates transparency, timely response, and adherence to regulatory obligations.	Investigation reports submitted showing actions taken		Report to CEO within 21 days of becoming aware		
MS1258-D1-2	Non-compliance Reporting	Failure to comply with the requirements of a condition, or with the content of an environmental management plan required under a condition, constitutes a non-compliance with these conditions,	KCGM clearly acknowledges that any failure to meet the requirements of a condition or the content of an	INX InForm - correspondence with EPA	Operations	Non-compliance		

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Compliance Status ¹	Further Information
		regardless of whether the contingency measures, rectification or other measures in condition D1-1 above have been or are being implemented.	<p>Environmental Management Plan (EMP) required under a condition constitutes a non-compliance, regardless of whether contingency, rectification, or other measures have been or are being implemented. This is reflected in KCGM's Environmental Management System and reporting processes by:</p> <ul style="list-style-type: none"> • Including a compliance statement in all EMPs confirming that non-compliance is triggered by failure to meet any condition or EMP requirement, even if corrective actions are underway. • Maintaining monitoring and verification protocols to promptly detect and record such failures. • Documenting all non-compliance events in the Compliance Assessment Report, including details of the condition or EMP requirement not met, actions taken, and timelines for remediation. • Implementing an auditable escalation process to ensure immediate internal and regulatory notification upon detection of non-compliance. <p>This approach demonstrates transparency and adherence to regulatory obligations by recognising that corrective measures do not negate the occurrence of non-compliance.</p>	Submission of non-compliance notification letter / report		<p>reported within 7 days</p> <p>Report to CEO within 21 days of becoming aware</p>		
MS1258-D2-1	Compliance Reporting	The proponent must provide an annual Compliance Assessment Report to the CEO for the purpose of determining whether the implementation conditions are being complied with.	<p>KCGM will maintain a documented process for preparing and submitting an annual Compliance Assessment Report (CAR) to the CEO, ensuring it meets the specified timing and frequency obligations. The process will include:</p> <ul style="list-style-type: none"> • Submission Schedule: Confirm that the first CAR is submitted by 31 March following the issue of the Ministerial Statement, unless an alternative date or frequency is approved by the CEO, and that subsequent reports are submitted annually by 31 March. 	<p>Approved Compliance Assessment Plan (this document).</p> <p>Annual Compliance Assessment Report</p>	Operations	Annually		

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Compliance Status ¹	Further Information
			<ul style="list-style-type: none"> • Tracking and Reminders: Implement an internal compliance calendar and automated reminders to ensure timely preparation and submission. • Report Content: Ensure the CAR includes all required compliance information, monitoring results, and evidence demonstrating adherence to implementation conditions. • Evidence of Submission: Maintain records such as email confirmations, CEO acknowledgments, and version-controlled copies of submitted reports. <p>This approach provides an auditable trail and demonstrates proactive compliance with reporting obligations.</p>					
MS1258-D2-2	Compliance Reporting	Unless a different date or frequency is approved by the CEO, the first annual Compliance Assessment Report must be submitted on the first 31 March after the Ministerial Statement is issued, and subsequent reports must be submitted annually from that date.	<p>KCGM will maintain a documented reporting schedule and submission process that ensures the first annual Compliance Assessment Report (CAR) is submitted by 31 March following the issue of the Ministerial Statement, unless an alternative date or frequency is approved by the CEO, and that subsequent reports are submitted annually from that date. Evidence of compliance will include:</p> <p>Compliance Calendar: An internal schedule showing the due dates for CAR submissions. Submission Records: Copies of reports submitted, along with CEO acknowledgment or receipt confirmations.</p> <p>Version Control: Documentation showing the timing of each submission relative to the required deadline. Procedural Controls: A formal process for monitoring deadlines and escalating if submission risks delay.</p> <p>This approach provides an auditable trail and demonstrates proactive adherence to the reporting timeline.</p>	<p>Annual Compliance Assessment Report and evidence of submission.</p> <p>INX InForm records - correspondence with EPA</p>	Operations	31 March each year		
MS1258-D2-3	Compliance Reporting	Each annual Compliance Assessment Report must be endorsed by the proponent's Chief Executive Officer, or a person approved by	KCGM will ensure that each annual Compliance Assessment Report (CAR) is formally endorsed by the Chief	Annual Compliance Assessment Report and evidence of submission.	Operations	31 March each year		

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Compliance Status ¹	Further Information
		proponent s Chief Executive Officer to be delegated to sign on the Chief Executive Officer’s behalf.	Executive Officer (CEO) or a person delegated by the CEO in writing. Evidence of compliance will include: <ul style="list-style-type: none"> • Signed Endorsement Page: A section in the CAR containing the CEO’s signature or the signature of an approved delegate, along with their name, position, and date of endorsement. • Delegation Record: If a delegate signs on behalf of the CEO, maintain a documented approval from the CEO authorising that delegation. • Version-Controlled Reports: Ensure the endorsed CAR is stored with version control and submission records to confirm authenticity. • Submission Evidence: Retain proof of submission to the regulator, including email correspondence or acknowledgment receipts. This approach provides a clear audit trail demonstrating that the endorsement requirement has been met in accordance with the condition.	INX InForm records – correspondence with EPA				
MS1258-D2-4	Compliance Reporting	Each annual Compliance Assessment Report must: <ol style="list-style-type: none"> (1) State whether each condition of this Statement has been complied with, including: <ol style="list-style-type: none"> (a) exceedance of any proposal limits and extents; (b) achievement of environmental outcomes; (c) achievement of environmental objectives; (d) requirements to implement the content of environmental management plans; (e) monitoring requirements; (f) implement contingency measures; (g) requirements to implement adaptive management; and (h) reporting requirements; (2) Include the results of any monitoring (inclusive of any raw data) that has been required under Part C in order to demonstrate that the limits in Part A, and any outcomes or any objectives are being met; (3) Provide evidence to substantiate statements of compliance, or details of where there has been a non-compliance; (4) Include the corrective, remedial and preventative actions taken in response to any potential non-compliance; 	Audit the Project to assess compliance with Conditions of MS1258.	Annual Compliance Assessment Report Compliance Assessment Reports will include audit table against Environmental Management Plans’ requirements	Overall	31 March each year		

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Compliance Status ¹	Further Information
		<p>(5) Be provided in a form suitable for publication on the proponent's website and online by the Department of Water and Environmental Regulation; and</p> <p>(6) Be prepared and published consistent with the latest version of the Compliance Assessment Plan required by condition D2-5 which the CEO has confirmed by notice in writing satisfies the relevant requirements of Part C and Part D.</p>						
MS1258-D2-5	Compliance Reporting	The proponent must prepare a Compliance Assessment Plan which is submitted to the CEO at least six (6) months prior to the first Compliance Assessment Report required by condition D2-2.	<p>KCGM will establish and document a process to prepare and submit a Compliance Assessment Plan (CAP) to the CEO at least six (6) months prior to the due date of the first Compliance Assessment Report (CAR) under condition D2-2. This process will include:</p> <ul style="list-style-type: none"> • Timeline Management: A compliance calendar showing the Ministerial Statement issue date, the first CAR due date (31 March), and the CAP submission deadline (six months prior). • Plan Content: The CAP should outline the methodology for assessing compliance, reporting structure, monitoring requirements, and responsibilities for implementation. • Evidence of Submission: Maintain records of the CAP submission, including email correspondence, CEO acknowledgment, and version-controlled copies of the plan. • Procedural Controls: Internal workflows to ensure CAP preparation begins well in advance, with escalation protocols if deadlines are at risk. <p>This approach provides an auditable trail and demonstrates proactive compliance with the timing and content requirements of the condition.</p>	<p>Approved Compliance Assessment Plan (this document).</p> <p>INX InForm records - correspondence with EPA</p>	Operations	7 February 2026		
MS1258-D2-6	Compliance Reporting	<p>The Compliance Assessment Plan must include:</p> <p>(1) What, when and how information will be collected and recorded to assess compliance;</p> <p>(2) The methods which will be used to assess compliance;</p>	<p>KCGM will ensure that the Compliance Assessment Plan (CAP) includes all six specified elements in a clear and auditable format:</p> <p>1. Information Collection and Recording: Define what compliance data will be collected, when it will be</p>	<p>Approved Compliance Assessment Plan (this document).</p> <p>INX InForm records - Correspondence with EPA</p>	Operations	7 February 2026		

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Compliance Status ¹	Further Information
		<p>(3) The methods which will be used to validate the adequacy of the compliance assessment to determine whether the implementation conditions are being complied with;</p> <p>(4) The retention of compliance assessments;</p> <p>(5) The table of contents of compliance assessment reports, including audit tables; and</p> <p>(6) How and when compliance assessment reports will be made publicly available, including usually being published on the proponent's website within sixty (60) days of being provided to the CEO.</p>	<p>collected, and how it will be recorded to assess compliance with implementation conditions.</p> <p>2. Compliance Assessment Methods: Describe the methodologies and tools that will be used to evaluate compliance against conditions and EMP requirements.</p> <p>3. Validation Methods: Outline how the adequacy of the compliance assessment will be validated, ensuring it accurately determines whether conditions are being met (e.g., internal audits, QA/QC checks).</p> <p>4. Retention of Compliance Assessments: Specify how compliance records will be stored, for how long, and in what format to maintain traceability.</p> <p>5. Table of Contents for Reports: Include a proposed structure for annual Compliance Assessment Reports, including audit tables that summarize compliance status for each condition.</p> <p>6. Public Availability: Detail how and when reports will be made publicly available, typically by publishing on the KCGM's website within sixty (60) days of submission to the CEO, and outline the process for ensuring timely publication.</p> <p>Evidence of compliance will include the CAP document with these sections clearly addressed, version-controlled records, and submission confirmation to the CEO.</p>					
MS1258-D3-1	Contact Details	The proponent must notify the CEO of any change of its name, physical address or postal address for the serving of notices or other correspondence within twenty-eight (28) days of such change. Where the proponent is a corporation or an association of persons, whether incorporated or not, the postal address is that of the principal place of business or of the principal office in the State.	If required, KCGM will provide written correspondence indicating the change in name and/or address of the proponent.	<p>Letter to the CEO of notifying change of contact name and address of the proponent.</p> <p>INX InForm records</p>	Overall	Within 28 days of such change		
MS1258-D4-1	Public Availability of Data	Subject to condition D4-2, within a reasonable time period approved by the CEO upon the issue of this Statement and for the remainder of the life of the significantly amended proposal, the proponent must make publicly available, in a manner approved by the CEO, all validated environmental data collected before and after the date of this Statement relevant to the significantly amended proposal (including sampling design, sampling methodologies, monitoring and other empirical data and derived information products (e.g. maps)), environmental management	Annual Compliance Assessment Reports to be published on KCGM's website.	<p>Annual Compliance Assessment Reports</p> <p>Validated environmental data</p> <p>Environmental management plans</p> <p>Compliance monitoring reports</p>	Overall	CARs to be published on the proponent's website within 60 days of submission to the CEO; and		

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Compliance Status ¹	Further Information
		plans and reports relevant to the assessment of this significant amendment proposal and implementation of this Statement.				Validated environmental data, management plans and reports to be published on the proponent's website within 60 days of CAP approval and within 60 days of CAR submissions, as applicable.		
MS1258-D4-2	Public Availability of Data	If: (1) Any data referred to in condition D4-1 contains trade secrets; or (2) Any data referred to in condition D4-1 contains particulars of confidential information (other than trade secrets) that has commercial value to a person that would be, or could reasonably be expected to be, destroyed or diminished if the confidential information were published, the proponent may submit a request for approval from the CEO to not make this data publicly available and the CEO may agree to such a request if the CEO is satisfied that the data meets the above criteria.	KCGM will decide prior to submitting each Compliance Assessment Report what it believes should not be made publicly available (for reasons stated in M4-2) and will make a request to the CEO to withhold this information from public release.	Letter to the CEO INX InForm records- Correspondence with EPA	Overall	Ongoing		
MS1258-D4-3	Public Availability of Data	In making such a request the proponent must provide the CEO with an explanation and reasons why the data should not be made publicly available.	KCGM will decide prior to submitting each Compliance Assessment Report what it believes should not be made publicly available (for reasons stated in M4-2) and will make a request to the CEO to withhold this information from public release.	Letter to the CEO INX InForm records - Correspondence with EPA	Overall	Ongoing		
MS1258-D5-1	Independent Audit	The proponent must arrange for an independent audit of compliance with the conditions of this statement, including achievement of the environmental outcomes and/or the environmental objectives and/ or environmental performance with the conditions of this statement, as and when directed by the CEO.	KCGM has a documented procedure for arranging independent audits whenever directed by the CEO. This procedure includes: <ul style="list-style-type: none"> Audit Engagement Process: A clear protocol for selecting and appointing an independent, qualified auditor to assess compliance with all conditions of the Ministerial Statement, including achievement of environmental outcomes, objectives, and performance criteria. Scope Definition: Ensure the audit scope explicitly covers compliance with conditions, EMP requirements, 	Independent Audit if required Independent Audit Report	Overall	As required		

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Compliance Status ¹	Further Information
			<p>and environmental performance measures.</p> <ul style="list-style-type: none"> • Evidence of Independence: Maintain documentation confirming the auditor's independence and qualifications. • Audit Execution and Reporting: Provide a timeline for conducting the audit and submitting the audit report to the CEO, including corrective actions for any identified non-compliance. • Record Keeping: Retain all audit reports, correspondence with the CEO, and evidence of actions taken in response to audit findings. <p>This approach demonstrates readiness and transparency in fulfilling the requirement whenever the CEO issues a directive.</p>					
MS1258-D5-2	Independent Audit	The independent audit must be carried out by a person with appropriate qualifications who is nominated or approved by the CEO to undertake the audit under condition D5-1.	<p>KCGM will ensure that any independent audit is conducted by a person who:</p> <ol style="list-style-type: none"> 1. Has appropriate qualifications relevant to environmental auditing and compliance assessment, such as professional certifications or demonstrated experience in environmental management and regulatory compliance. 2. Is nominated or approved by the CEO prior to undertaking the audit, with documented evidence of the CEO's approval or confirmation of nomination. 	<p>Independent Audit Report including:</p> <ul style="list-style-type: none"> • A copy of the auditor's qualifications and CV demonstrating competency. • Written approval or nomination confirmation from the CEO. • Audit engagement documentation showing the auditor's independence and scope of work. • Version-controlled audit reports submitted to the CEO. 	Overall	As required		
MS1258-D5-3	Independent Audit	The proponent must submit the independent audit report with the Compliance Assessment Report required by condition D2, or at any time as and when directed in writing by the CEO. The audit report is to be supported by credible evidence to substantiate its findings.	<p>KCGM will ensure that any independent audit report is submitted to the CEO either:</p> <ul style="list-style-type: none"> • Together with the annual Compliance Assessment Report (CAR) required under condition D2, or at any time as directed in writing by the CEO. <p>The audit report will be supported by credible evidence to substantiate its findings. This means:</p>	<p>Independent Audit if required</p> <p>Independent Audit Report</p> <p>INX InForm records</p>	Overall	As required		

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Compliance Status ¹	Further Information
			<p>1. Submission Records: Maintain proof of timely submission, such as email correspondence or CEO acknowledgment.</p> <p>2. Credible Evidence: Include raw monitoring data, QA/QC documentation, photographs, GIS maps, and analysis reports that validate audit conclusions.</p> <p>3. Audit Integrity: Ensure the report is version-controlled and signed by the independent auditor approved under condition D5-1.</p> <p>4. Integration with CAR: When submitted with the CAR, clearly reference the audit findings within the compliance summary and attach the full audit report as an appendix.</p> <p>This approach provides transparency, traceability, and demonstrates adherence to both timing and evidence requirements.</p>					
MS1258-D5-4	Independent Audit	The independent audit report required by condition D5-1 is to be made publicly available in the same timeframe, manner and form as a Compliance Assessment Report, or as otherwise directed by the CEO.	<p>KCGM will ensure that any independent audit report prepared under condition D5-1 is made publicly available in the same timeframe, manner, and form as the annual Compliance Assessment Report (CAR), unless otherwise directed by the CEO. This means:</p> <ul style="list-style-type: none"> • Timeframe: Publish the audit report within 60 days of submission to the CEO, or as per any alternative timeframe specified by the CEO. • Manner and Form: Make the report accessible on KCGM's website in a format consistent with CAR publications (e.g., PDF, clearly labeled, and easy to download). • Evidence of Compliance: Maintain records of publication dates, screenshots of the website showing the report, and submission confirmations to the CEO. • Procedural Controls: Include this requirement in the Compliance Assessment Plan and establish internal workflows to ensure timely publication and verification. 	Independent Audit report published on the KCGM website	Overall	Within 60 days of submission to the CEO		

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Compliance Status ¹	Further Information
			This approach demonstrates transparency and adherence to both reporting and public disclosure obligations.					